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Table Of Contents

- Standard I: Mission and Goals
- Standard II: Ethics and Integrity
- Standard III: Design and Delivery of the Student Learning Experience
- Standard IV: Support of the Student Experience
- Standard V: Educational Effectiveness Assessment
- Standard VI: Planning, Resources, and Institutional Improvement
- Standard VII: Governance, Leadership, and Administration
- Requirements of Affiliation
- Contact Information

Video Introductions

For an overview of UAlbany’s process and subsequent report findings please view one of the respective short videos on each Middle States standard. The report in its entirety is accessible for in-depth analysis.

WHAT IS MIDDLE STATES AND WHY IS IT IMPORTANT TO ME?

STANDARD I: MISSION & GOALS
Standard I: Mission and Goals

The institution’s mission defines its purpose within the context of higher education, the students it serves, and what it intends to accomplish. The institution’s stated goals are clearly linked to its mission and specify how the institution fulfills its mission. An accredited institution possesses and demonstrates the following attributes or activities:

<table>
<thead>
<tr>
<th>Criteria</th>
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<tbody>
<tr>
<td>1. clearly defined mission and goals that: institutional goals that are realistic, appropriate to higher education, and consistent with mission; institutional goals that are realistic, appropriate to higher education, and consistent with mission;</td>
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<tr>
<td>a. developed through appropriate collaborative participation by all who facilitate or are otherwise responsible for institutional development and improvement;</td>
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<td>b. address external as well as internal contexts and constituencies;</td>
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<td>c. are approved and supported by the governing body;</td>
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<td>d. guide faculty, administration, staff, and governing structures in making decisions related to planning, resource allocation, program and curricular development, and the definition of institutional and educational outcomes;</td>
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<td>e. include support of scholarly inquiry and creative activity, at levels and of the type appropriate to the institution;</td>
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<td>f. are publicized and widely known by the institution’s internal stakeholders;</td>
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<td>g. are periodically evaluated;</td>
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<tr>
<td>2. institutional goals that are realistic, appropriate to higher education, and consistent with mission;</td>
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<tr>
<td>3. goals that focus on student learning and related outcomes and on institutional improvement; are supported by administrative, educational, and student support programs and services; and are consistent with institutional mission;</td>
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<td>4. and periodic assessment of mission and goals to ensure they are relevant and achievable.</td>
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Click here for a template of the compiled standard 1 report

2020 Self-Study Committees (available only to Self-Study team members)

- Self-Study Steering Committee
  - I – Mission and Goals
  - II – Ethics & Integrity
  - III - Design and Delivery of the Student Experience
  - IV - Support of the Student Experience
  - V - Educational Effectiveness Assessment
  - VI - Planning, Resources, and Institutional Improvement
  - VII - Governance, Leadership, and Administration
- Co-Chairs page

2020 Self-Study Resource Center
Standard II: Ethics and Integrity

Ethics and integrity are central, indispensable, and defining hallmarks of effective higher education institutions. In all activities, whether internal or external, an institution must be faithful to its mission, honor its contracts and commitments, adhere to its policies, and represent itself truthfully.

Criteria

1. a commitment to academic freedom, intellectual freedom, freedom of expression, and respect for intellectual property rights;

2. a climate that fosters respect among students, faculty, staff, and administration from a range of diverse backgrounds, ideas, and perspectives;

3. a grievance policy that is documented and disseminated to address complaints or grievances raised by students, faculty, or staff. The institution’s policies and procedures are fair and impartial, and assure that grievances are addressed promptly, appropriately, and equitably;

4. the avoidance of conflict of interest or the appearance of such conflict in all activities and among all constituents;

5. fair and impartial practices in the hiring, evaluation, promotion, discipline, and separation of employees;

6. honesty and truthfulness in public relations announcements, advertisements, recruiting and admissions materials and practices, as well as in internal communication;

7. as appropriate to its mission, services or programs in place:
   a. to promote affordability and accessibility;
   b. to enable students to understand funding sources and options, value received for cost, and methods to make informed decisions about incurring debt;

8. compliance with all applicable federal, state, and Commission reporting policies, regulations, and requirements to include reporting regarding:
   a. institution-wide assessments, graduation, retention, certification and licensure or licensing board pass rates;
   b. the full disclosure of information on the institution’s compliance with the Commission’s Requirements of Affiliation;
   c. substantive changes affecting institutional mission, goals, programs, operations, sites, and other material issues which must be disclosed in a timely and accurate fashion;
   d. the institution’s compliance with the Commission’s policies; and

9. periodic assessment of ethics and integrity as evidenced in institutional policies, processes, practices, and the manner in which these are implemented.

Click here for a template of the compiled standard 2 report
Standard III

Standard III: Design and Delivery of the Student Learning Experience

An institution provides students with learning experiences that are characterized by rigor and coherence at all program, certificate, and degree levels, regardless of instructional modality. All learning experiences, regardless of modality, program pace/schedule, level, and setting are consistent with higher education expectations.

### Criteria

1. Certificate, undergraduate, graduate, and/or professional programs leading to a degree or other recognized higher education credential, of a length appropriate to the objectives of the degree or other credential, designed to foster a coherent student learning experience and to promote synthesis of learning;

2. Student learning experiences that are designed, delivered, and assessed by faculty (full-time or part-time) and/or other appropriate professionals who are:
   a. rigorous and effective in teaching, assessment of student learning, scholarly inquiry, and service, as appropriate to the institution’s mission, goals, and policies;
   b. qualified for the positions they hold and the work they do;
   c. sufficient in number;
   d. provided with and utilize sufficient opportunities, resources, and support for professional growth and innovation;
   e. reviewed regularly and equitably based on written, disseminated, clear, and fair criteria, expectations, policies, and procedures;

3. Academic programs of study that are clearly and accurately described in official publications of the institution in a way that students are able to understand and follow degree and program requirements and expected time to completion;

4. Sufficient learning opportunities and resources to support both the institution’s programs of study and students’ academic progress;

5. At institutions that offer undergraduate education, a general education program, free standing or integrated into academic disciplines, that:
   a. offers a sufficient scope to draw students into new areas of intellectual experience, expanding their cultural and global awareness and cultural sensitivity, and preparing them to make well-reasoned judgments outside as well as within their academic field;
   b. offers a curriculum designed so that students acquire and demonstrate essential skills including at least oral and written communication, scientific and quantitative reasoning, critical analysis and reasoning, technological competency, and information literacy.
       Consistent with mission, the general education program also includes the study of values, ethics, and diverse perspectives; and
   c. in non-US institutions that do not include general education, provides evidence that students can demonstrate general education skills;

6. In institutions that offer graduate and professional education, opportunities for the development of research, scholarship, and independent thinking, provided by faculty and/or other professionals with credentials appropriate to graduate-level curricula;

7. Adequate and appropriate institutional review and approval on any student learning opportunities designed, delivered, or assessed by third-party providers; and

8. Periodic assessment of the effectiveness of programs providing student learning opportunities.

[Click here for a template of the compiled standard 3 report](#)
Standard IV: Support of the Student Experience

Across all educational experiences, settings, levels, and instructional modalities, the institution recruits and admits students whose interests, abilities, experiences, and goals are congruent with its mission and educational offerings. The institution commits to student retention, persistence, completion, and success through a coherent and effective support system sustained by qualified professionals, which enhances the quality of the learning environment, contributes to the educational experience, and fosters student success.

<table>
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<tr>
<th>Criteria</th>
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<tr>
<td>1. clearly stated, ethical policies and processes to admit, retain, and facilitate the success of students whose interests, abilities, experiences, and goals provide a reasonable expectation for success and are compatible with institutional mission, including:</td>
</tr>
<tr>
<td>a. accurate and comprehensive information regarding expenses, financial aid, scholarships, grants, loans, repayment, and refunds;</td>
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<tr>
<td>b. a process by which students who are not adequately prepared for study at the level for which they have been admitted are identified, placed, and supported in attaining appropriate educational goals;</td>
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<tr>
<td>c. orientation, advisement, and counseling programs to enhance retention and guide students throughout their educational experience;</td>
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<tr>
<td>d. processes designed to enhance the successful achievement of students’ educational goals including certificate and degree completion, transfer to other institutions, and post-completion placement;</td>
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<tr>
<td>2. policies and procedures regarding evaluation and acceptance of transfer credits, and credits awarded through experiential learning, prior non-academic learning, competency-based assessment, and other alternative learning approaches;</td>
</tr>
<tr>
<td>3. Policies and procedures for the safe and secure maintenance and appropriate release of student information and records;</td>
</tr>
<tr>
<td>4. if offered, athletic, student life, and other extracurricular activities that are regulated by the same academic, fiscal, and administrative principles and procedures that govern all other programs;</td>
</tr>
<tr>
<td>5. if applicable, adequate and appropriate institutional review and approval of student support services designed, delivered, or assessed by third-party providers; and</td>
</tr>
<tr>
<td>6. periodic assessment of the effectiveness of programs supporting the student experience.</td>
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Click here for a template of the compiled standard 4 report
Standard V: Educational Effectiveness Assessment

Assessment of student learning and achievement demonstrates that the institution’s students have accomplished educational goals consistent with their program of study, degree level, the institution’s mission, and appropriate expectations for institutions of higher education.

Criteria

1. clearly stated educational goals at the institution and degree/program levels, which are interrelated with one another, with relevant educational experiences, and with the institution’s mission;

2. organized and systematic assessments, conducted by faculty and/or appropriate professionals, evaluating the extent of student achievement of institutional and degree/program goals. Institutions should:
   a. define meaningful curricular goals with defensible standards for evaluating whether students are achieving those goals;
   b. articulate how they prepare students in a manner consistent with their mission for successful careers, meaningful lives, and, where appropriate, further education. They should collect and provide data on the extent to which they are meeting these goals;
   c. support and sustain assessment of student achievement and communicate the results of this assessment to stakeholders;

3. consideration and use of assessment results for the improvement of educational effectiveness. Consistent with the institution’s mission, such uses include some combination of the following:
   a. assisting students in improving their learning;
   b. improving pedagogy and curriculum;
   c. reviewing and revising academic programs and support services;
   d. planning, conducting, and supporting a range of professional development activities;
   e. planning and budgeting for the provision of academic programs and services;
   f. informing appropriate constituents about the institution and its programs;
   g. improving key indicators of student success, such as retention, graduation, transfer, and placement rates;
   h. implementing other processes and procedures designed to improve educational programs and services;

4. if applicable, adequate and appropriate institutional review and approval of assessment services designed, delivered, or assessed by third-party providers; and

5. periodic assessment of the effectiveness of assessment processes utilized by the institution for the improvement of educational effectiveness.

Click here for a template of the compiled standard 5 report
Standard VI

Standard VI: Planning, Resources, and Institutional Improvement

The institution’s planning processes, resources, and structures are aligned with each other and are sufficient to fulfill its mission and goals, to continuously assess and improve its programs and services, and to respond effectively to opportunities and challenges.

Criteria

1. institutional objectives, both institution wide and for individual units, that are clearly stated, assessed appropriately, linked to mission and goal achievement, reflected conclusions drawn from assessment results, and are used for planning and resource allocation;

2. clearly documented and communicated planning and improvement processes that provide for constituent participation, and incorporate the use of assessment results;

3. a financial planning and budgeting process that is aligned with the institution’s mission and goals, evidence-based, and clearly linked to the institution’s and units’ strategic plans/objectives;

4. fiscal and human resources as well as the physical and technical infrastructure adequate to support its operations wherever and however programs are delivered;

5. well-defined decision-making processes and clear assignment of responsibility and accountability;

6. comprehensive planning for facilities, infrastructure, and technology that includes consideration of sustainability and deferred maintenance and is linked to the institution’s strategic and financial planning processes;

7. an annual independent audit confirming financial viability with evidence of follow-up on any concerns cited in the audit’s accompanying management letter;

8. strategies to measure and assess the adequacy and efficient utilization of institutional resources required to support the institution’s mission and goals; and

9. periodic assessment of the effectiveness of planning, resource allocation, institutional renewal processes, and availability of resources.

Click here for a template of the compiled standard 6 report

Standard VII
## Standard VII: Governance, Leadership, and Administration

The institution is governed and administered in a manner that allows it to realize its stated mission and goals in a way that effectively benefits the institution, its students, and the other constituencies it serves. Even when supported by or affiliated with governmental, corporate, religious, educational system, or other unaccredited organizations, the institution has education as its primary purpose, and it operates as an academic institution with appropriate autonomy.

### Criteria

1. A clearly articulated and transparent governance structure that outlines roles, responsibilities, and accountability for decision making by each constituency, including governing body, administration, faculty, staff and students;

2. A legally constituted governing body that:
   - serves the public interest, ensures that the institution clearly states and fulfills its mission and goals, has fiduciary responsibility for the institution, and is ultimately accountable for the academic quality, planning, and fiscal well-being of the institution;
   - has sufficient independence and expertise to ensure the integrity of the institution. Members must have primary responsibility to the accredited institution and not allow political, financial, or other influences to interfere with their governing responsibilities;
   - ensures that neither the governing body nor its individual members interferes in the day-to-day operations of the institution;
   - oversees at the policy level the quality of teaching and learning, the approval of degree programs and the awarding of degrees, the establishment of personnel policies and procedures, the approval of policies and by-laws, and the assurance of strong fiscal management;
   - plays a basic policy-making role in financial affairs to ensure integrity and strong financial management, is may include a timely review of audited financial statements and/or other documents related to the fiscal viability of the institution;
   - establishes and complies with a written, conflict of interest policy designed to ensure the impartiality of the governing body by addressing matters such as payment for services, contractual relationships, employment, and family, financial or other interests that could pose or be perceived as conflicts of interest;
   - supports the Chief Executive Officer in maintaining the autonomy of the institution;

3. A Chief Executive Officer who:
   - is appointed by, evaluated by, and reports to the governing body and shall not chair the governing body;
   - has appropriate credentials and professional experience consistent with the mission of the organization;
   - has the authority and autonomy required to fulfill the responsibilities of the position, including developing and implementing institutional plans, staffing the organization, identifying and allocating resources, and directing the institution toward attaining the goals and objectives set forth in its mission;
   - has the assistance of qualified administrators, sufficient in number, to enable the Chief Executive Officer to discharge his/her duties effectively; and is responsible for establishing procedures for assessing the organization’s efficiency and effectiveness;

4. An administration possessing or demonstrating:
   - an organizational structure that is clearly documented and that clearly defines reporting relationships;
   - an appropriate size and with relevant experience to assist the Chief Executive Officer in fulfilling his/her roles and responsibilities;
   - members with credentials and professional experience consistent with the mission of the organization and their functional roles;
   - skills, time, assistance, technology, and information systems expertise required to perform their duties;
   - regular engagement with faculty and students in advancing the institution’s goals and objectives;
   - systematic procedures for evaluating administrative units and for using assessment data to enhance operations; and

5. Periodic assessment of the effectiveness of governance, leadership, and administration.

[Click here for a template of the compiled standard 7 report](#)
Requirements of Affiliation

To be eligible for, to achieve, and to maintain Middle States Commission on Higher Education accreditation, an institution must demonstrate that it fully meets the following Requirements of Affiliation. Compliance is expected to be continuous and will be validated periodically, typically at the time of institutional self-study and during any other evaluation of the institution’s compliance. Once eligibility is established, an institution then must demonstrate on an ongoing basis that it meets the Standards for Accreditation.

1. The institution is authorized or licensed to operate as a post secondary educational institution and to award post secondary degrees; it provides written documentation demonstrating both. Authorization or licensure is from an appropriate governmental organization or agency within the Middle States region (Delaware, the District of Columbia, Maryland, New Jersey, New York, Pennsylvania, Puerto Rico, and the U.S. Virgin Islands), as well as by other agencies as required by each of the jurisdictions, regions, or countries in which the institution operates. Institutions that offer only post secondary certificates, diplomas, or licenses are not eligible for accreditation by the Middle States Commission on Higher Education.

2. The institution is operational, with students actively enrolled in its degree programs.

3. For institutions pursuing Candidacy or Initial Accreditation, the institution will graduate at least one class before the evaluation team visit for initial accreditation takes place, unless the institution can demonstrate to the satisfaction of the Commission that the lack of graduates does not compromise its ability to demonstrate that students have achieved appropriate learning outcomes.

4. The institution’s representatives communicate with the Commission in English, both orally and in writing.

5. The institution complies with all applicable government (usually Federal and state) laws and regulations.

6. The institution complies with applicable Commission, inter-regional, and inter-institutional policies. These policies can be viewed on the Commission website, [www.msche.org](http://www.msche.org).

7. The institution has a mission statement and related goals, approved by its governing board, that defines its purposes within the context of higher education.

8. The institution systematically evaluates its educational and other programs and makes public how well and in what ways it is accomplishing its purposes.

9. The institution’s student learning programs and opportunities are characterized by rigor, coherence, and appropriate assessment of student achievement throughout the educational offerings, regardless of certificate or degree level or delivery and instructional modality.

10. Institutional planning integrates goals for academic and institutional effectiveness and improvement, student achievement of educational goals, student learning, and the results of academic and institutional assessments.

11. The institution has documented financial resources, funding base, and plans for financial development, including those from any related entities (including without limitation systems, religious sponsorship, and corporate ownership) adequate to support its educational purposes and programs and to ensure financial stability. The institution demonstrates a record of responsible fiscal management, has a prepared budget for the current year, and undergoes an external financial audit on an annual basis.

12. The institution fully discloses its legally constituted governance structure(s) including any related entities (including without limitation systems, religious sponsorship, and corporate ownership). The institution’s governing body is responsible for the quality and integrity of the institution and for ensuring that the institution’s mission is being accomplished.

13. A majority of the institution’s governing body’s members have no employment, family, ownership, or other personal financial interest in the institution. The governing body adheres to a conflict of interest policy that assures that those interests are disclosed and that they do not interfere with the impartiality of governing body members or outweigh the greater duty to secure and ensure the academic and fiscal integrity of the institution. The institution’s district/system or other chief executive officer shall not serve as the chair of the governing body.

14. The institution and its governing body/bodies make freely available to the Commission accurate, fair, and complete information on all aspects of the institution and its operations. The governing body/bodies ensure that the institution describes itself in comparable and consistent terms to all of its accrediting and regulatory agencies, communicates any changes in accredited status, and agrees to disclose information (including levels of governing body compensation, if any) required by the Commission to carry out its accrediting responsibilities.

15. The institution has a core of faculty (full time or part-time) and/or other appropriate professionals with sufficient responsibility to the institution to assure the continuity and coherence of the institution’s educational programs.
The following individuals comprise UAlbany’s self-study team, organized by Middle States standards.

- **Middle States Self-Study Review Chairs**
  - **Jeanette Altarriba**, Vice Provost & Dean of Undergraduate Education, and Collins Fellow
  - **Jason Lane**, Interim Dean, School of Education, and Associate Professor Educational Policy & Leadership

- **Office of The President**
  - **Bruce Szelest**, Chief of Staff and MSCHE Accreditation Liaison Officer (ALO)

- **Office of Institutional Research, Planning, and Effectiveness (IRPE)**
  - **Jack Mahoney**, Assistant Vice President for Academic and Resource Planning

- **Steven Doellefeld**, Director of Assessment

- **Standard I**
  - **Co-Chairs**
    - **Steven Messner**, Distinguished Professor and Co-Chair Middle States 2010 Self-Study
    - **James Mower**, Associate Professor Geography and Planning
  - **Professional Staff**
    - **Alice Oldfather**, Assistant to the President for Strategic Communications
  - **Members**
    - **Courtney D’Allaird**, Assistant Director Intercultural Student Engagement
    - **Ashley DelSignore**, Sr. Manager Content Marketing and Strategy Marketing Services
    - **Satyendra Kumar**, Associate VP for Research Grants Development
    - **Debernee Privott**, Assistant Dean for Public Engagement, CAS & Director, University in the High School
    - **Latonia Spencer**, Director Office of Student Accounts
    - **Christopher Thomcroft**, Professor and Chair Atmospheric and Environmental Sciences
    - **Raymond (Ray) Webb Jr.**, Graduate Student
    - **Kaylynn Enright**, Undergraduate Student

- **Standard II**
  - **Co-Chairs**
    - **James Acker**, Distinguished Professor, Collins Fellow, Criminal Justice
    - **Kevin Wilcox**, Associate Vice President and Controller
  - **Professional Staff**
    - **Nancy Lauricella**, Executive Director, Community Standards
  - **Members**
    - **Mary Gallant**, Professor, Sr. Associate Dean for Academic Affairs School of Public Health
    - **Carol Jewell**, Serials Copy Cataloger University Libraries
    - **Ewan McNay**, Assistant Professor Psychology
    - **Terrell Rabb**, Regulatory Affairs Administrator Office of Regulatory and Research Compliance
    - **Randy Stark**, Associate VP for Human Resources Human Resources Management
    - **Sade Firstencel**, Graduate Student
    - **TBD**, Undergraduate Student

- **Standard III**
  - **Co-Chairs**
    - **Richard Fogarty**, Associate Professor History, and Associate Dean Undergraduate Education
    - **Linda Krzykowski**, Assistant Vice Provost, Student Engagement
  - **Professional Staff**
    - **Leah Rotella**, Student Engagement Community Coordinator at the Office of Undergraduate Education
  - **Members**
    - **Suraj Commuri**, Associate Professor Marketing
    - **Carrie Wojenski**, Associate Vice Provost for Global Academic Programs Center for International Education
    - **Christy Smith**, Assistant Dean for Professional Studies Dean’s Office, School of Education
    - **Peter Shea**, Associate Professor and Vice Provost for Distance Learning, Educational Theory and Practice, Academic Affairs
    - **JoAnne Malatesta**, Assistant VP and Director of Advisement Services Academic Support Center
    - **Leslie Halpern**, Associate Professor and Chair Psychology
    - **Ingrid Fisher**, Associate Professor Accounting and Law
    - **Erin Leeper**, Graduate Student
    - **TBD**, Undergraduate Student
• Standard IV
  • Co-Chairs
    • Martha Asselin, Director of the Center for Leadership and Service
    • Ekow King, Director of Intercultural Student Engagement
  • Professional Staff
    • Douglas Sweet, Director of Student Affairs Assessment and Planning
  • Members
    • Jordan Carleo-Evangelist, Director of Media and Community Relations
    • Government and Community Relations
    • Lauren Clemens, Assistant Professor Anthropology
    • Holly Barker-Flynn, Director New Student Programs Orientation and Transition Programs
    • Claudio Gomez, Senior Academic Advisor Educational Opportunity Program
    • Martin (Marty) Manjak, Chief Information Security Officer Information Technology Services
    • Lotfi Sayahi, Professor Languages, Literatures & Cultures
    • Jessica Wenger, Graduate Student
    • TBD, Undergraduate Student

• Standard V
  • Co-Chairs
    • Ryan Torn, Associate Professor, Atmospheric and Environmental Science
    • Virginia Goatley, Professor, Literacy Teaching and Learning
  • Professional Staff
    • Steven Doellefeld, Director of Assessment, Institutional Research, Planning, and Effectiveness
  • Members
    • Lee Bickmore, Professor and Director of Linguistics and Cognitive Science Anthropology
    • James Boswell, Assistant Professor Psychology
    • Pauline Carrico, Instructional Support Specialist Biology
    • Alexander Dawson, Associate Professor History
    • Billie Franchini, Interim Director Institute for Teaching Learning and Academic Leadership
    • TBD, Undergraduate Student
    • Andrew Iovanna, Graduate Student

• Standard VI
  • Co-Chairs
    • Susanna Fessler, Professor East Asian Studies and Associate Dean College of Arts and Sciences
    • Todd Foreman, Vice President, Finance and Administration
  • Professional Staff
    • Tuuli Edwards, Senior Budget Analyst, Financial Management and Budget
  • Members
    • Tremaine Harris, Assistant Director for Conduct Residential Life
    • John Giarrusso, Associate Vice President Facilities Management
    • Gerald Marschke, Associate Professor Economics
    • Louise-Anne McNutt, Associate Professor Institute for Health and the Environment
    • Frederic Meni, Instructional Support Technician/Coordinator Center for Language and International Communication
    • Katherine McDermott, Graduate Student
    • TBD, Undergraduate Student

• Standard VII
  • Co-Chairs
    • Clarence McNeill, Assistant Vice President, Student Affairs
    • Christine Wagner, Professor and Chair, Psychology
  • Professional Staff
    • James D Hyde, Deputy Chief of Staff to the President
  • Members
    • Erin Bell, Professor of Environmental Health Sciences
    • Michael Castellana, University Council Chair
    • Sanjay Goel, Professor and Chair Information Security Digital Forensics
    • Glenn Deane, Professor and Chair Sociology
    • Glyne Griffith, Professor English
    • Karin Reinhold, Associate Professor, University Senate immediate past Chair Mathematics and Statistics
    • Sheila Seery, Vice President Government and Community Relations
    • Lynn Warner, Dean School of Social Welfare
    • Clint DeMyer, Graduate Student
    • TBD, Undergraduate Student